

1632

**AMENDMENT TRANSMITTAL LETTER (Small Entity)**

Applicant(s): **Peter A. Koopman, et al.**

Docket No.

**10981AZ**

Serial No.

Filing Date

Examiner

Group Art Unit

**09/10,087**

**July 20, 2001**

**D. Nguyen**

**1632**

Invention: **SOX-9 GENE AND PROTEIN AND USE IN THE REGENERATION OF BONE OR CARTILAGE**

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**MAY 05 2003**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

**TECH CENTER 1600/2900**

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	11 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	6 -	6 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **19-3886/RCT**  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

*Signature*

Dated: **April 29, 2003**

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I certify that this document and fee is being deposited on **April 29, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Michelle Mustafa**

*Typed or Printed Name of Person Mailing Correspondence*

cc: **FSD/XZ:ab**



#7/B  
PATENT  
5-603

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Peter A. Koopman, et al.

**Examiner:** D. Nguyen

**Serial No.:** 09/910,087

**Art Unit:** 1632

**Filed:** July 20, 2001

**Docket:** 10981AZ

**For:** SOX-9 GENE AND PROTEIN AND  
USE IN THE REGENERATION OF  
BONE OR CARTILAGE

**Dated:** April 29, 2003

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MAY 05 2003

Commissioner for Patents  
Washington, DC 20231

**TECH CENTER 1600/2900**

**AMENDMENT UNDER 37 C.F.R. § 1.121**

Sir:

In response to the Office Action dated January 29, 2003, and in accordance with the provisions of 37 C.F.R. § 1.121, Applicants submit the following amendment for entry in the above-identified case.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on April 29, 2003.

Dated: April 29, 2003

  
Michelle Mustafa